



Series 65 Study Guide (Paperback)

By Philip Martin McCaulay

Createspace, United States, 2014. Paperback. Book Condition: New. 279 x 216 mm. Language: English . Brand New Book ***** Print on Demand *****.This book will help investment professionals pass the Uniform Investment Adviser Law Examination. It is a study guide in outline form. There is a section for each topic covered on the Series 65 test, including Economic Factors and Business Information; Investment Vehicle Characteristics; Client Investment Recommendations and Strategies; and Laws, Regulations, and Guidelines, including Prohibition on Unethical Business Practices. The study guide includes details on the math formulas needed for the test.



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